



PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

Application Number	09/497,620
Filing Date	February 3, 2000
First Named Inventor	Douglas E. Ott
Art Unit	3763
Examiner Name	M. Thompson
Attorney Docket Number	1-15368

Sheet 1 of 3

**U. S. PATENT DOCUMENTS**

Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US- 4,770,168	09-13-1988	Rusz, et al.	
		US- 6,814,714	11-09-2004	Novak, et al.	
		US- 4,215,681	08-05-1980	Zalkin, et al.	
		US- 4,401,114	08-30-1983	Lwoff, et al.	
		US- 5,062,145	10-29-1991	Zwaan, et al.	
		US- 4,369,777	01-25-1983	Lwoff, et al.	
		US- 4,674,494	06-23-1987	Wiencek	
		US- 5,482,031	01-09-1996	Lambert	
		US- 4,360,017	11-23-1982	Barlett	
		US- 2,408,136	09-24-1946	Fox	
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			

**FOREIGN PATENT DOCUMENTS**

Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
		WO 94/28952	12-22-1994	Novak (US Equivalent	6,814,714)	
		DE 28 34 622	02-22-1979	Lwoff, et al.	(US 4,401,114)	
		DE 39 32 766 A1	04-05-1990	Zwaan, et al.		
		DE 34 30 541 A1	07-04-1985	Stiegler, et al		
		DE 28 10 325 A1	09-20-1979			
		DE 31 39 135 A1	10-21-1982	Lwoff, et al.	(US 4,369,777)	

Examiner  
Signature

Date

Considered

Sept 18, 2005

<sup>1</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>2</sup>Applicant's unique citation designation number (optional). <sup>3</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>4</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>5</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>6</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>7</sup>Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.



**Complete if Known**

**(Use as many sheets as necessary)**

Sheet 2

of 3

Application Number	09/497,620
Filing Date	February 3, 2000
First Named Inventor	Douglas E. Ott
Art Unit	3763
Examiner Name	M. Thompson
Attorney Docket Number	1-15368

[illegible]

FOREIGN PATENT DOCUMENTS						
Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	Footnote <sup>2</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (# known)				
[Handwritten Signature]		DE 36 15 611 C2	11-13-1986	Wiencek (US Equivalent)	4,674,494	
		DE 39 27 594 A1	06-28-1990	Jehmilch		
		EP 0 533 644 B1	12-04-1996	Lambert	(US 5,482,031)	
		EP 0 169 151 B1	01-22-1986	Dittmar, et al.	(US 4,825,863)	
		EP 0 387 220 B2	01-25-1995	Lambert	(US 5,042,468)	

Date  
Considered

Sept 18, 2005

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

**If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.**



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)		<b>Complete If Known</b>	
		Application Number	09/497,620
		Filing Date	February 3, 2000
		First Named Inventor	Douglas E. Ott
		Art Unit	3763
		Examiner Name	M. Thompson
Sheet 3	of 3	Attorney Docket Number	1-15368

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		KONINCKX & VANDERMEERSCH, <u>The Persufflator: An insufflation device for laparoscopy and especially for CO<sub>2</sub>-laser-endoscopic surgery</u> , Human Reproduction, Vol. 6, No. 9, pp. 1288-1290.	
		KARRER, W., <u>Pillars of therapy of chronic obstructive bronchitis</u> , Schweiz Rundsch Med Prax. 1989 Feb. 7; 78(6):121-5, Germany.	
		SIEDE & SCHNEIDER, <u>Handbook and Atlas of Laparoscopy</u> , pub. J.F. Lehmanns Verlag, Munich, Germany, 1962, pp.19-20. & English Translation	

Examiner Signature		Date Considered	Sept 18, 2005
--------------------	--	-----------------	---------------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.